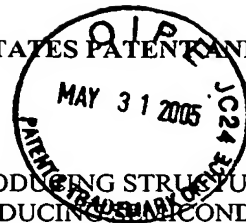


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:
SERIAL NO.
FILING DATE:
INVENTION:

T. Kobayashi, et al.
10/813,529
March 30, 2004



ATTORNEY DOCKET NO. 09794353-0033
GROUP ART UNIT: 2812
EXAMINER: S. Mulpuri

"METHOD FOR PRODUCING STRUCTURED SUBSTRATE, STRUCTURED SUBSTRATE, METHOD FOR PRODUCING SEMICONDUCTOR LIGHT EMITTING DEVICE, SEMICONDUCTOR LIGHT EMITTING DEVICE, METHOD FOR PRODUCING SEMICONDUCTOR DEVICE, SEMICONDUCTOR DEVICE, METHOD FOR PRODUCING DEVICE, AND DEVICE"

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
 - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
 - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ Please charge attorney's firm American Express Account in the amount of \$_____ to cover the required fee. PTO Form 2038 is enclosed for that purpose.
- ☒ The Commissioner is hereby authorized to any fees which may be required, or credit any overpayment to Deposit Account No. 19-3140. An additional copy of this sheet is attached for that purpose.

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By Christopher P. Rauch, Reg. 45,034
Christopher P. Rauch
Sonnenschein, Nath & Rosenthal LLP
P.O. Box #061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606
Telephone 312/876-8000
Customer ID #26263

When phoning re this application,
please call (312) 876-8000 Ext. 2606



CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 26, 2005.

X. Kurhaufer



-1-

Docket No. 09794353-0033

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): T. Kobayashi, et al. DOCKET NO.: 09794353-0033
SERIAL NO.: 10/813,528 GROUP ART UNIT: 2812
DATE FILED: March 30, 2004 EXAMINER: S. Mulpuri
INVENTION: "METHOD FOR PRODUCING STRUCTURED SUBSTRATE, STRUCTURED SUBSTRATE, METHOD FOR PRODUCING SEMICONDUCTOR LIGHT EMITTING DEVICE, SEMICONDUCTOR LIGHT EMITTING DEVICE, METHOD FOR PRODUCING SEMICONDUCTOR DEVICE, SEMICONDUCTOR DEVICE, METHOD FOR PRODUCING DEVICE, AND DEVICE"

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED US PATENT REFERENCES

	<u>Number</u>	<u>Inventor(s)</u>	<u>Date of Publication</u>
AA	6,015,979	Sugiura, et al.	January 18, 2000
AB	2001/0038655	Tanaka, et al.	November 8, 2001

II. SUBMITTED FOREIGN PATENT REFERENCES

	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
AH	JP2000-21789	Japan	January 21, 2000
AI	JP2002-33512	Japan	January 31, 2002
AJ	JP2003-78214	Japan	March 14, 2003
AK	JP2000-164929	Japan	June 16, 2000
AL	JP2001-257193	Japan	September 21, 2001

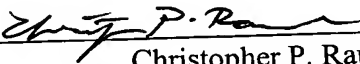
AM	JP2000-353669	Japan	December 19, 2000
AN	JP11-103135	Japan	April 13, 1999
AO	JP11-238687	Japan	August 31, 1999
AP	JP11-195813	Japan	July 21, 1999
AQ	EP 1 005 068 A2	Europe	May 31, 2000
AR	EP 1 088 914 A1	Europe	April 4, 2001

III. OTHER ITEMS OF INFORMATION


IV. EXPLANATION OF RELEVANCE

The above-identified references are submitted as being relevant to the subject matter of the above-identified application.

Submitted by,

 (Reg. 45,034)
Christopher P. Rauch
SONNENSCHN NATH & ROSENTHAL LLP
P.O. Box 061080
Wacker Drive Station - Sears Tower
Chicago, IL 60606-1080
Telephone: 312/876-8000
Attorneys for Applicant(s)
Customer #26263

37 CFR 1.501 INFORMATION DISCLOSURE STATEMENT IN A PATENT (use several sheets if necessary)					Docket No. 09794353-0033		Serial No. 10/813,528	
					Applicants: T. Kobayashi, et al.			
					Filing Date March 30, 2004		Group Art Unit 2812	



U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	AA	6,015,979	1-18-00	Sugiura, et al.			
	AB	2001/0038655	11-8-01	Tanaka, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						

FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	AH	JP2000-21789	1-21-00	Japan			Abstract	
	AI	JP2002-33512	1-31-02	Japan			Abstract	
	AJ	JP2003-78214	3-14-03	Japan			Abstract	
	AK	JP2000-164929	6-16-00	Japan			Abstract	
	AL	JP2001-257193	9-21-01	Japan			Abstract	
	AM	JP2000-353669	12-19-00	Japan			Abstract	
	AN	JP11-103135	4-13-99	Japan			Abstract	
	AO	JP11-238687	8-31-99	Japan			Abstract	
	AP	JP11-195813	7-21-99	Japan			Abstract	
	AQ	EP 1 005 068 A2	5-31-00	Europe				
	AR	EP 1 088 914 A1	4-4-01	Europe				
	AS							
	AT							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	

Examiner	Date Considered
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.